PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
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TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER **DESIGNATED/ELECTED OFFICE (DO/EO/US)** US020601 U.S. APPLICATION NO. (If known see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/IB2003/005992 11 December 2003 19 December 2002 TITLE OF INVENTION POWER SUPPLY FOR LEDs APPLICANT(S) FOR DO/EO/US Ajay TRIPATHI; Bernd CLAUBERG; Young-Kee MIN; Raghuram NARASIMHAN; Rohit KHETARPAL Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371, This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. **V** A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. 🗹 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. 🔲 An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 10. Items 11 to 20 below concern document(s) or information included: 11. 🔽 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13. 🔽 A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18 A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19 Express Mail Certificate; PTO/SB/80; PTO/SB/96; PTO/SB/08A; Charge Authorization; Receipt

20. Other items or information: Confirmation Postcard

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents; P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.					ATTORNEY'S DOCKET NUMBER		
A.O.	1223	ART	PCT/IB2003/005992		US020601		
The following fees have been submitted					CALCULATIONS	PTO USE ONLY	
21. 🗹 Basic r	ational fee	\$ 300.00					
22. Examin If International prel PCT Article 33(1 All other situations	)-(4)	\$ 200.00					
23. Search Search fee (37 CFF International International Search All other situations.	R 1.445(a)(2)) h I Searching Aut n Report prepar	\$ 400.00					
т	OTAL OF 21, 2	\$ 900.00					
sequence listing	or specification ng or computer <b>0</b> for each addit						
Total Sheets	Extra Sheets		n additional 50 or fraction up to a whole number)	RATE			
- 100 =	/50 =			× \$250	\$		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).							
CLAIMS	NUME	BER FILED	NUMBER EXTRA	RATE	\$		
Total claims	15	- 20 =	0	x \$ 50	\$ 0.00		
Independent claims	2	- 3 =	0	× \$200	\$ 0.00	<u></u>	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			•	+ \$360	\$		
TOTAL OF ABOVE CALCULATIONS = \$ 0.00							
Applicant claim	Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						
		\$ 900.00					
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						<u>.                                      </u>	
		\$ 900.00					
Fee for recording the by an appropriate of		\$ 40.00					
TOTAL FEES ENCLOSED = \$ 940.00							
	<del></del> -	Amount to be	s				
					refunded: Amount to be charged:	\$ 940.00	
a. A check in the amount of \$ to cover the above fees is enclosed.							
b. Please charge my Deposit Account No. 14-1270 in the amount of \$ 940.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1270 . A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not							
be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed							
and granted to restore the International Application to pending status.  SEND ALL CORRESPONDENCE TO:							
SIGNATURE							
Corporate Patent Counsel Robert J. K							
Philips	Electronics Nor						
P.O. Box 3001 26,358						1	
Briarcliff Manor, NY 10510 REGISTRAT					ON NUMBER		

10/539981

## JC09 Rec'd PCT/PTO 19 JUN 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

TRIPATHI ET AL

US020601

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

POWER SUPPLY FOR LEDS

Commissioner for Patents Alexandria, VA 22313-1450

CERT	IFICATE OF EXPRESS MAILING
Express Mail Label No. EV66	485215245
Date of Deposit	7, 2005
"Express Mail Post Office to Address	r fee is being deposited with the United States Postal Service see" service under 37 C.F.R. 1.10 on the date indicated above er for Patents, PO Box, 1450, Alexandria, VA 22313-1450
Elissa DeLuccy Typed Name	Elissa De Lucy Signature